Budget and Capacity

Illinois Commission on Criminal Justice and Sentencing Reform
Thursday, September 29, 2016
1. The system

2. Baseline projections

3. Budgets

4. Takeaway thoughts & discussion
1. The system

2. Baseline projections

3. Budgets

4. Takeaway thoughts & discussion
The System: Overall Numbers

- Prison: 44,154
- Parole: 27,390
- Jail: 19,221
- Felony Probation: 18,511
- Misdemeanor Probation: 7,595
- Withheld Judgment: 28,448

Total: 145,319

- Of those released from prison, 74% are over 25 years old.
1. The system

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Future Prison Growth with Status Quo

- Actual Population
- SPAC Population Projection

Prison Population

Year

Future Additional Spending: Status Quo Growth and No New Services

Projected Prison Population

<table>
<thead>
<tr>
<th>Year</th>
<th>Population</th>
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<tbody>
<tr>
<td>2015</td>
<td>2017</td>
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<tr>
<td>2019</td>
<td>2021</td>
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<td>2023</td>
<td>2025</td>
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<td>2027</td>
<td>2029</td>
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<tr>
<td>2031</td>
<td>2033</td>
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<tr>
<td>2035</td>
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</tbody>
</table>

Future Value

Dollar (discounted at 3% rate)

- $0
- $10,000,000
- $20,000,000
- $30,000,000
- $40,000,000
- $50,000,000
- $60,000,000
- $70,000,000
- $80,000,000
- $90,000,000

Projected Prison Population

- 2015: 50,000
- 2017: 50,500
- 2019: 51,000
- 2021: 51,500
- 2023: 52,000
- 2025: 52,500
- 2027: 53,000
- 2029: 53,500
- 2031: 54,000
- 2033: 54,500
- 2035: 55,000

Future Value

- $0
- $10,000,000
- $20,000,000
- $30,000,000
- $40,000,000
- $50,000,000
- $60,000,000
- $70,000,000
- $80,000,000
- $90,000,000
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County Justice Budgets, SPAC analysis
Analysis of Seven Counties

• $1.3 billion - Total Adult Criminal Justice Expenditures
  • Most spending is “step” costs, change in chunks
  • Sample included about 60% of statewide population

• $699 million – Jail Costs
• $388 million – Court Costs
• $76 million – Probation Costs
County Justice Budgets, by Function

- **Sheriff - Law Enforcement**: 9%
- **Sheriff - Jail**: 5%
- **Courts - Probation**: 25%
- **Courts - Other**: 11%
- **Courts - PD**: 14%
- **Courts - SAO**: 10%
- **Courts - Jury**: 9%
- **Courts - Judges, Clerks, Security**: 11%

**Cook County** - $973 Million
- Sheriff - Law Enforcement: 10%
- Sheriff - Jail: 56%
- Courts - Probation: 5%
- Courts - Other: 8%
- Courts - PD: 11%
- Courts - SAO: 9%
- Courts - Jury: 9%
- Courts - Judges, Clerks, Security: 0%

**Collar Counties** - $255 Million
- Sheriff - Law Enforcement: 17%
- Sheriff - Jail: 47%
- Courts - Probation: 7%
- Courts - Other: 6%
- Courts - PD: 14%
- Courts - SAO: 3%
- Courts - Jury: 12%
- Courts - Judges, Clerks, Security: 5%

**Urban Counties** - $65 Million
- Sheriff - Law Enforcement: 10%
- Sheriff - Jail: 39%
- Courts - Probation: 6%
- Courts - Other: 10%
- Courts - PD: 6%
- Courts - SAO: 3%
- Courts - Jury: 25%
- Courts - Judges, Clerks, Security: 20%

**Rural County** - $16 Million
- Sheriff - Law Enforcement: 18%
- Sheriff - Jail: 25%
- Courts - Probation: 20%
- Courts - Other: 12%
- Courts - PD: 9%
- Courts - SAO: 18%
- Courts - Jury: 11%
- Courts - Judges, Clerks, Security: 10%
Costs for County Governments

State Costs

- State Prisons
- Parole and MSR
  - Prisoner Review Board
  - Criminal Justice Information Authority
  - State Police
  - Other Public Safety Agencies

County Costs

- Sheriffs - Jail
  - Sheriffs - Law Enforcement
  - Sheriffs - Court Security
  - State’s Attorney
  - Public Defender
  - Court Clerks
  - Court Services & Jury Costs
  - Other Public Safety Costs

Probation
- Judges
- Elected Officials
Costs per “Client”

State Costs

- MSR - $2,669
- Incarceration (IDOC) - $41,052

County Costs

- Probation
  - $1,000 to $3,800
- Incarceration (Jail)
  - $35,000 to $62,000

State Costs:
- $41,052

County Costs:
- $1,000 to $3,800
- $35,000 to $62,000
FY 2017 GENERAL FUNDS APPROPRIATIONS
BY MAJOR PURPOSE
General Funds: $29.630 Billion*

- Human Services: 40%
- Education: 46%
- Government Services: 9%
- Public Safety and Regulation: 5%
- Quality of Life: 0%
- Economic Development: 0%

*Preliminary Data
Includes new appropriations, continuing appropriations, and reappropriations
Source: Statewide Accounting Management System Data Warehouse as of 8/10/16
Additional Hidden Costs that Fluctuate

- Capital costs to pay for more space
- Deferred maintenance
  - $1.7 Billion deferred maintenance estimated for physical infrastructure
  - Ex: $450,000 once for an old Pontiac boiler $\rightarrow$ $100,000$ less energy consumption
- Litigation costs and court-mandated spending

**Tort Claims and Legal Fees**

<table>
<thead>
<tr>
<th>Year (YTD)</th>
<th>Legal Fees</th>
<th>Tort Claims</th>
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<tbody>
<tr>
<td>2011</td>
<td>$0</td>
<td>$1.6 Million</td>
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<tr>
<td>2012</td>
<td>$0.5 Million</td>
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<td>2013</td>
<td>$0.5 Million</td>
<td>$0.1 Million</td>
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<tr>
<td>2014</td>
<td>$15 Million</td>
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<tr>
<td>2015</td>
<td>$1 Million</td>
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<tr>
<td>2016</td>
<td>$0.1 Million</td>
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</table>
True Cost of IDOC Spending
(inflation adjusted, 2015 dollars)

Parole  ATC  Prison  ADP (right axis)

$2,500  $49,000

$2,000

$1,500

$1,000

$500

$0


IDOC Prison Population on June 30

Millions (2015 dollars)

Parole  ATC  Prison  ADP (right axis)

2007: $0
2008: $500
2009: $1,000
2010: $1,500
2011: $2,000
2012: $2,500
2013: $3,000
2014: $3,500
2015: $4,000

SPAC
Illinois Sentencing Policy Advisory Council
Future Additional Spending: Status Quo Growth and No New Services

Future Value

Projected Population

Projected Prison Population

Dollar (discounted at 3% rate)

$0

$10,000,000

$20,000,000

$30,000,000

$40,000,000

$50,000,000

$60,000,000

$70,000,000

$80,000,000

$90,000,000

2015 2017 2019 2021 2023 2025 2027 2029 2031 2033 2035

46,000 46,500 47,000 47,500 48,000 48,500 49,000 49,500 50,000 50,500 51,000 51,500 52,000

$0 $10,000,000 $20,000,000 $30,000,000 $40,000,000 $50,000,000 $60,000,000 $70,000,000 $80,000,000 $90,000,000
1. The system

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## Tax and spend planning

<table>
<thead>
<tr>
<th>SHORT TERM</th>
<th>MID TERM</th>
<th>LONG TERM</th>
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<tbody>
<tr>
<td>2015</td>
<td>2020</td>
<td>2025</td>
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<tr>
<td>2016</td>
<td>2021</td>
<td>2026+</td>
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<tr>
<td>2017</td>
<td>2022</td>
<td></td>
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<td>2018</td>
<td>2023</td>
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<td>2019</td>
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<td>2020</td>
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<td>2021</td>
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<td>2025</td>
<td>2026+</td>
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<tr>
<td>2026+</td>
<td>2025</td>
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</table>

*Policy changes?*

*Implementation & feedback loop?*

*Short-term costs & outcomes*

*Mid-term costs & outcomes*

*Long-term spending goals & outcomes*
Takeaway Thoughts & Discussion

- Local Design and Control
- Supporting goals
  - Pre-investment
  - System Investment
  - Community Investment
- Outcome-driven Investment
  - Data collection
  - Evaluation
Takeaway Thoughts & Discussion

Question 1. What do we want to pay for?

Question 2. What do you want to know about costs and capacity five years from now?
1. The system

2. Baseline projections

3. Budgets

4. Takeaway thoughts & discussion

**Additional Information:**
1. Tax and spend

2. State and local

3. Baseline projections

4. Takeaway thoughts & discussion

Additional Information:
Additional Information

- **Statutory Court Fee Task Force**
  - Task Force report, June 1, 2016
  - Found “byzantine system” that passes “an increased share of the costs of court administration onto the parties to court proceedings”
  - “Excessive variation across the state in the amount of assessments for the same type of proceedings.”
  - “The cumulative impact of the assessments imposed on parties to civil lawsuits and defendants in criminal and traffic proceedings imposes severe and disproportionate impacts on low- and moderate-income Illinois residents”

- **Takeaway**
  - May need to adjust fines and fees to provide “fair and equal justice”
  - Adjustments may create need for other revenue sources for court and public safety administration
Additional Information

- Statutory Court Fee Task Force report on criminal court assessments
**Additional Information**

- **SPAC estimates on short-term implementation costs**
  - Estimate of 3-year impact of keeping inmates with 6-month or less stays out of prison, by seven counties analyzed in budget project

- Applies jail and probation costs for those counties
  - Assumes a third of the impact increase jail, probation, and community services
  - System costs are estimates based on SPAC budget project

- Applies community service costs for probation and community services
  - Assumes half are high needs, half mid needs
  - Assumes half are mid needs, half low needs
  - Community costs are approximations based on community-provided cost estimates
REQUIRED SERVICES

- Housing
- Mental Health
- Job Training & Transportation
## Total County Impact, Estimates

<table>
<thead>
<tr>
<th>Percent of Estimated Need</th>
<th>Year of Implementation</th>
<th>System Costs</th>
<th>Community Costs</th>
<th>Total Costs</th>
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</thead>
<tbody>
<tr>
<td>5%</td>
<td>Year 1</td>
<td>$4.1 million</td>
<td>$3.0 million</td>
<td>$7.1 million</td>
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<tr>
<td>55%</td>
<td>Year 2</td>
<td>$45.3 million</td>
<td>$33.1 million</td>
<td>$78.4 million</td>
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<tr>
<td>105%</td>
<td>Year 3</td>
<td><strong>$86.5 million</strong></td>
<td><strong>$63.1 million</strong></td>
<td><strong>$149.6 million</strong></td>
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</table>
ESTIMATED FY 2017 GENERAL FUNDS REVENUES BY SOURCE

($ Millions)
Estimated Total General Funds Revenues: $30.883 Billion

- Individual Income Tax (Net) $12,893 (42%)
- Sales Taxes $8,215 (27%)
- Federal Sources $3,100 (10%)
- Misc. $2,549 (8%)
- Corporate Income Tax (Net) $1,892 (6%)
- Other Sources $2,234 (7%)

Excludes Budget Stabilization transfers and other cash flow transfers

Source: CGFA
FY 2017 TOTAL APPROPRIATIONS BY MAJOR PURPOSE

Total Funds: $92.695 Billion*

- Human Services: 34%
- Government Services: 21%
- Economic Development: 18%
- Education: 20%
- Public Safety and Regulation: 6%
- Quality of Life: 0%

*Preliminary Data
Includes new appropriations, continuing appropriations, and reappropriations
Source: Statewide Accounting Management System Data Warehouse as of 8/10/16
Actual Time Served in IDOC, Class 2 Felons

Years in IDOC

- FY10 Exits
- FY15 Exits
Average Prison Sentences Imposed for New Sentence Admissions, by Felony Class

Mean Sentence Imposed (in years)

Fiscal Year

Class X

Class 1

Class 2

Class 3

Class 4
## Takeaway Thoughts & Discussion

$41,052$ to $62,000$


### Clearinghouses Included

<table>
<thead>
<tr>
<th>Clearinghouse</th>
<th>Abbreviation Used</th>
<th>Area of Interest</th>
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<tbody>
<tr>
<td>Blueprints for Healthy Youth Development</td>
<td>Blueprints</td>
<td>Child welfare and juvenile justice</td>
</tr>
<tr>
<td>California Evidence-Based Clearinghouse for Child Welfare</td>
<td>CEBC</td>
<td>Child welfare</td>
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<tr>
<td>Coalition for Evidence-Based Policy</td>
<td>Coalition</td>
<td>Social policy</td>
</tr>
<tr>
<td>CrimeSolutions.gov</td>
<td>CrimeSolutions</td>
<td>Criminal justice</td>
</tr>
<tr>
<td>National Registry of Evidence-based Programs and Practice</td>
<td>NREPP</td>
<td>Substance abuse and mental health</td>
</tr>
<tr>
<td>Promising Practices Network</td>
<td>PPN</td>
<td>Child welfare, juvenile justice, and social programs</td>
</tr>
<tr>
<td>What Works Clearinghouse</td>
<td>WWC</td>
<td>Education</td>
</tr>
<tr>
<td>What Works in Reentry Clearinghouse</td>
<td>WWR</td>
<td>Criminal justice</td>
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### Results

<table>
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<tr>
<th>Policy area</th>
<th>Intervention</th>
<th>Blueprints</th>
<th>CEBC</th>
<th>Coalition</th>
<th>Crime Solutions</th>
<th>NREPP</th>
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<td>Adult criminal justice</td>
<td>24/7 Sobriety Project (SD)</td>
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<td>Adult criminal justice</td>
<td>Adult Diagnostic Treatment Center (NJ)</td>
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